

Appendix**The Deals**

	<u>Announcement Date</u>	<u>Ticker</u>	<u>Entity</u>	<u>Type</u>	<u>Price Change</u>	<u>IB – Gonzalez Approx. Profit</u>	<u>Schwab – Abellan Approx. Profit</u>	<u>Tasty – Gonzalez Approx. Profit</u>	<u>IB-Abellan Approx. Profit</u>
1	9/10/20	MD	MEDNAX, Inc.	Acquisition by Radiology Partners	5.42%	\$526.15			
2	9/10/20	ITCI	Intra-Cellular Therapies, Inc.	Pricing of stock offering	-10.89%	\$226.52			
3	9/16/20	SYNH	Syneos Health, Inc.	Pricing of secondary offering	-10.23%	\$1,230.37			
4	9/17/20	FSLR	First Solar, Inc.	Pricing of secondary offering	-11.06%	\$5,224.01			
5	9/21/20	ACEL	Accel Entertainment, Inc.	Proposed offering of stock	-16.06%	\$814.55			
6	10/1/20	TRTN	Triton International, Ltd.	Pricing of secondary offering	-7.06%	\$1,945.31			
7	10/1/20	AMAG	AMAG Pharmaceuticals, Inc.	Tender offer by Covis Group	45.21%	\$36,694.23			
8	10/2/20	ARA	American Renal Associates Holdings, Inc.	Acquisition by Nautic Partners	66.47%	\$36,924.22			
9	10/13/20	COLD	Americold Realty Trust	Pricing of forward common share offering	-1.51%	\$1,053.36			
10	10/27/20	EQH	Equitable Holdings, Inc.	Reinsuring legacy variable	9.03%	\$2,245.72			

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				annuity policies to Venerable					
11	11/2/20	EIGI	Endurance International Group Holdings, Inc.	Acquisition by Clearlake Capital Group	61.96%	\$6,468.90			
12	11/16/20	HDS	HD Supply Holdings, Inc.	Tender offer by The Home Depot	24.46%	\$15,550.79			
13	1/11/21	LMND	Lemonade, Inc.	Proposed offering of stock	-5.60%		\$476.04		
14	1/12/21	VNT	Vontier Corp.	Proposed secondary offering	1.18%		\$1,188.46		
15	1/12/21	AMWL	Amwell	Proposed offering of stock	-3.96%		\$793.71		
16	1/14/21	CRSA	Crescent Acquisition Corp.	Merger (SPAC deal) with LiveVox	8.94%			\$400.81	
17	1/19/21	AZEK	The Azek Company, Inc.	Public offering of stock	-1.42%			\$1,410.79	
18	1/25/21	TSIA	TS Innovation Acquisitions Corp.	Merger (SPAC deal) with Latch, Inc.	44.79%			\$5,322.93	
19	1/26/21	DCT	Duck Creek Technologies Inc.	Public offering of stock	-7.15%		\$1,246.68		
20	2/1/21	VIE	Viela Bio, Inc.	Tender offer by Horizon Therapeutics	52.26%		\$7,009.53		
21	2/3/21	GWPH	GW Pharmaceuticals, plc	Acquisition by Jazz Pharmaceuticals	44.53%			\$70,484.27	

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22	2/8/21	SNPR	Tortoise Acquisition Corp. II	Merger (SPAC deal) with Volta Industries	33.64%			\$27,260.12	
23	2/8/21	ARYA	Arya Sciences Acquisition Corp. III	Merger (SPAC deal) with Nautilus Biotechnology	100.09%		\$8,368.67		
24	2/8/21	GLUU	Glu Mobile, Inc.	Acquisition by Electronic Arts	34.93%			\$8,872.35	
25	2/8/21	CLF	Cleveland-Cliffs, Inc.	Pricing of public offering	-8.14%		\$7,935.52		
26	2/16/21	CHGG	Chegg, Inc.	Public offering of stock	-7.63%		\$6,654.99		
27	2/22/21	CTB	Cooper Tire & Rubber Co.	Acquisition by Goodyear Tire	29.40%		\$12,730.92		
28	2/23/21	DMYI	dMY Technology Group III	Bloomberg article about DMYI in talks to merge (SPAC deal) with IonQ (officially announced on 3/9/21)	18.95%		\$1,496.96		
29	2/24/21	AONE	one	Merger (SPAC deal) with MarkForged	20.88%			\$1,625.87	
30	3/5/21	NCLH	Norwegian Cruise Line Holdings Ltd.	Public offering of stock	-12.31%			\$15,464.83	
31	3/15/21	STAY	Extended Stay America, Inc.	Acquisition by Starwood Capital	13.40%		\$10,072.50		
32	3/15/21	CFX	Colfax Corporation	Public offering of stock	-4.77%		\$2,436.75		

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33	3/15/21	MAXR	Maxar Technologies, Inc.	Public offering of stock	-8.07%			\$4,855.03	
34	3/16/21	FTCV	FinTech Acquisition Corp.	Merger (SPAC deal) with eToro Group	42.76%		\$3,246.60		
35	3/16/21	ARRY	Array Technologies, Inc.	Launch of secondary offering	-12.05%			\$10,641.82	
36	3/25/21	QS	QuantumScape Corp.	Pricing of public offering	-3.85%		\$821.79		\$286.74
37	4/6/21	UPST	Upstart Holdings, Inc.	Follow-on offering	-12.63%		\$1,716.07		\$204.81
38	4/12/21	NI	NiSource, Inc.	Equity offering	-0.97%			\$2,306.40	\$5,071.90
39	4/25/21	SEAH	Sports Entertainment Acquisition Corp.	Merger (SPAC deal) with SGHC Limited Corp.	4.50%		\$4,132.22		\$5,554.64
40	4/26/21	PFPT	Proofpoint, Inc.	Acquisition by Thoma Bravo	31.05%			\$30,696.33	\$32,825.28
41	5/3/21	MAAC	Montes Archimedes Acquisition Corp.	Merger (SPAC deal) with Roivant Sciences Ltd.	0.91%		\$1,489.36		\$1,093.52
42	5/18/21	LAD	Lithia Motors & Driveway	Proposed public offering of stock	-7.43%			\$28,651.95	\$24,276.13
43	5/24/21	GLOB	Globant S.A.	Proposed public offering of stock	-0.62%		\$563.41		\$1,952.83
44	5/24/21	WOOF	Petco Health and Wellness Co.	Secondary offering of shares	-2.24%		\$1,507.46		\$1,329.83
45	5/25/21	PACK	Ranpak Holdings Corp.	Public offering of stock	-9.28%		\$4,310.25		\$4,034.45

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				TOTALS (approx.):		\$108,904.13	\$78,197.89	\$207,993.50	\$76,630.13
				GRAND TOTAL (approx.):		\$471,725.65			